

# Provisioning QoS for WiFi-enabled Portable Devices in Home Networks

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## Abstract

Wi-Fi-enabled portable devices have recently been introduced into the consumer electronics market. These devices download or upload content, from or to a host machine, such as a personal computer, a laptop, a home gateway, or a media server. This paper investigates the fairness among multiple Wi-Fi-enabled portable devices in a home network when they are simultaneously communicated with the host machine. First, we present that, a simple IEEE 802.11-based home network suffers from unfairness, and the fairness is exaggerated by the wireless link errors. This unfairness is due to the asymmetric response of the TCP to data-packet loss and to acknowledgment-packet loss, and the wireless link errors that occur in the proximity of any node; the errors affect other wireless devices through the interaction at the interface queue of the home gateway. We propose a QoS-provisioning framework in order to achieve per-device fairness and service differentiation. For this purpose, we introduce the medium access price, which denotes an aggregate value of network-wide traffic load, per-device link usage, and per-device link error rate. We implemented the proposed framework in the ns-2 simulator, and carried out a simulation study to evaluate its performance with respect to fairness, service differentiation, loss and delay. The simulation results indicate that the proposed method enforces the per-device fairness, regardless of the number of devices present and regardless of the level of wireless link errors; furthermore it achieves high link utilization with only a small amount of frame losses.

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**Keywords:** IEEE 802.11, service differentiation, TCP congestion control, home network

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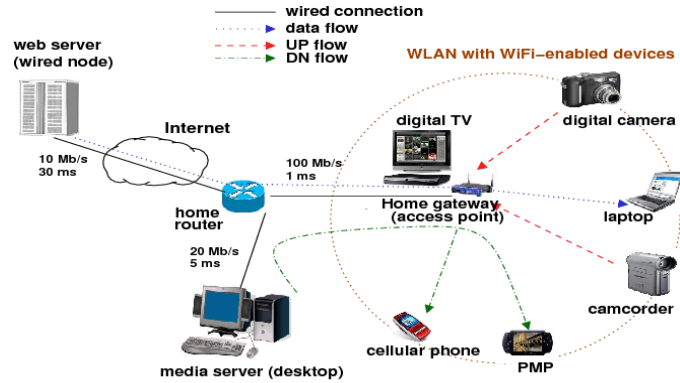
## 1. Introduction

Consumer electronics (CEs), such as Personal Digital Assistants (PDAs), Portable Media Players (PMPs), and MP3player have all evolved to do much more than just present pictures and play audio/video. Enhanced service feature can be continuously added onto the devices, e.g., real-time audio/video streaming, built-in radio/TV tuners, games, digital cameras and social networking; moreover, these features become more enhanced through the Wi-Fi interfaces nowadays [1]. The most common usage of the Wi-Fi interfaces that are installed onto portable devices is downloading contents from a home gateway (or media server), which is simply a desktop personal computer (PC) or a laptop with the functionality of an Access Point (AP) and/or a web server. Another common usage of such the Wi-Fi interfaces is uploading the content stored in their limited built-in memory to free up more memory space for future use, share it with others, or play the content in another audio/video device. The Wi-Fi interface provides pervasive access to the media server via the Internet, and it provides a larger bandwidth than is available through Bluetooth and cellular networks; thus, it is considered as a *de facto* standard to provide wireless networking service for portable devices in a home network.

In this paper, we investigate the QoS issue among such Wi-Fi-enabled portable devices in a home network as they simultaneously download and upload their content via a TCP-like reliable transport protocol. This QoS issue that we deal with in a home network is different from the QoS issue that we can also encounter in handling IEEE 802.11-based WLANs. Since portable are less capable (in perspective of hardware) than desktop or laptop, they cannot usually activate multiple network flows (or network applications) [2]. Additionally, according to the usage property of the portable devices, they are supposed to download the multimedia data from the home gateway, or they upload those data in their memory to the gateway when they are connected to the home network; the downloading devices are MP3 players, smart phones or PMP, while the uploading devices are digital cameras or Camcorders. In order to address the QoS issue in a home network, we first argue that there is an unfair bandwidth sharing among portable devices; the uploading devices are preferred to the downloading ones. This unfairness originates from the property of a TCP-like congestion control, and then it becomes severe due to the IEEE 802.11 MAC protocol [3][4][5][6][7][8][9]. Then we also uncover that the unfair situation becomes worse when wireless link errors come into play in the home network; in the presence of wireless interference, the unfairness among the uploading and the downloading devices becomes exaggerated.

With these observations, we propose a price-driven QoS-provisioning framework, which is located at between the TCP and the MAC protocol, with negligibly minor modification of either protocol. In the proposed framework, we introduce Medium Access Price (MAP) as a measure of (i) the overall network-wide load, (ii) per-device link usage, and (iii) per-device link quality. This is estimated in the MAC layer at the home gateway, and it is delivered to the TCP senders, regardless of whether the senders are wired nodes or wireless nodes. Based on this price, every TCP sender adjusts its rate in order to assure the per-device fairness or service differentiation. Moreover, the framework can be extended to cases where the devices use a UDP-like transport protocol. We implemented the proposed framework in the ns-2 simulator, and conducted an extensive set of simulations to evaluate the compliance of the proposed framework for achieving the objective. The simulation results indicate that the proposed framework achieves the per-device fairness and service differentiation, and it outperforms the

IEEE 802.11-based networks in terms of fairness, throughput, frame delay, and packet loss.



**Fig. 1.** Network configuration for a home network with three different traffic types.

The rest of the paper is organized as follows. Section 2 analyzes the problems of TCP-induced unfairness and the exaggerated unfairness due to the wireless link errors. The QoS-provisioning framework and algorithm are proposed in Section 3. The simulation results are presented under various network configurations in Section 4. Additionally, previous works that have aimed at achieving fairness in WLANs are addressed in Section 5. Finally, the conclusions are presented in Section 6.

## 2. Motivation: Unfairness in TCP over IEEE 802.11 MAC

This section first explains that, at the home gateway, the uploading devices are preferred to the downloading devices in the IEEE 802.11-based home networks. Then, it shows that the unfairness is intensified due to the wireless link errors. Note that the home gateway plays the role of (i) interfacing all the WiFi-enabled devices as an access point (AP) in an IEEE 802.11-based home network, (ii) sending and/or receiving multimedia contents, and (iii) relaying data among the devices.

For the purpose of investigating the unfairness discovered in IEEE 802.11-based home networks, we perform an ns-2 simulation study and examine the operational characteristics of the TCP traffic in the IEEE 802.11-based home networks. Various aspects of the wireless channel, such as the capture effect, multi-path fading, or interferences, are assumed to be negligible in order to focus on the issue of the TCP-driven unfairness and the effect of the wireless link errors. **Fig. 1** presents a typical configuration of a home network, in which the Wi-Fi-enabled devices download and/or upload content from/to a home gateway. For example, the laptop can do a web-surf via the home gateway, the PMP can download a movie from the desktop PC, and the camcorder and digital camera can upload their moving pictures and photos to the home gateway connected to a digital TV; they can upload their contents to various user community web sites (e.g., YouTube), or to they can upload on-line photo printing sites; moreover, the smart phone (or MP3 player) can download MP3 files or movies from the server.

The default values of the IEEE 802.11b MAC/PHY parameters [10] are used, and the transmission rate is set to 11 Mb/s in this study. The advertised window size of the TCP flow, denoted by  $W_{adv}$ , is set to 64 KB. The buffer size in the home gateway,  $B$ , is set to 100

packets<sup>1</sup>. TCP/Reno is used, and the TCP packet size is set to 1,500 bytes. With this configuration, the devices uploading data to the home gateway are called the UP DEVS, and the devices downloading data from the gateway are called the DN DEVS.

## 2.1 TCP-Driven Fairness

As an initial step, a simple simulation is carried out when the home gateway serves only one UP DEV and one DN DEV, in order to find the operational properties of the TCP over the IEEE 802.11-operated WLAN. Specifically, the following simulation scenario is used:

- One laptop is used as a DN DEV, and one camcorder is used as a UP DEV;
- The DN DEV is active throughout the whole simulation time of [0s, 140s], while the UP DEV is active only in the period of [20s, 120s];
- There are no wireless link errors.

It is expected that both the UPDEV and the DNDEV consume almost equal amounts of bandwidth because the UP DEV and the home gateway contend for the shared wireless link and IEEE 802.11 the distributed coordination function (DCF) gives the fair channel access opportunity to the contending devices. However, Fig. 2 shows that the throughput of the UP DEV ( $\approx 2.93$  Mb/s) is much higher than that of the DN DEV ( $\approx 1.47$  Mb/s) when they coexist in the period of [20s, 120s]. This bias toward the UP DEV results from the different response of the TCP to the data loss and to the ACK loss. Note that the “ACK packet” denotes the TCP ACK packet rather than the MAC-layer ACK frame, unless otherwise stated. When the UP DEV and the DN DEV share a wireless link, the home gateway transmits two kinds of packets to the wireless devices: data packets to the DN DEV, and ACK packets to the UP DEV. Since a TCP sender continuously increases its congestion window size until it detects a packet loss, the wireless link whose capacity is generally smaller than that of the wired link can be easily congested, and some packets are dropped in the buffer (interface queue) at the home gateway. The packet loss invokes congestion control, and the lost packet needs to be retransmitted by the TCP sender. However, the TCP flows of the UP DEV and the DN DEV react differently when they detect the packet losses that are incurred at the interface queue in the home gateway. As for the DN DEV, in response to the packet losses, the receiver transmits duplicate ACKs to inform the sender of the loss, and the sender reduces its congestion window size by half. Thus, the transmission rate of the DN DEV is reduced every time it encounters a data packet loss at the home gateway's buffer. On the other hand, as for the UP DEV, an ACK packet loss does not affect the TCP throughput as considerably as in the case of DN DEV. This results from the **cumulative ACK mechanism** of the TCP. Even though an ACK packet is lost due to the buffer overflow, it does not necessarily invoke the TCP congestion control mechanism. As far as subsequent ACK packets with higher sequence numbers are timely delivered to the UP DEV (the TCP sender), the sender recognizes that all packets up to the sequence number specified in the received ACK packets are successfully delivered to the receiver. Thus, the cumulative ACK allows the UP DEV to the ACK packet loss. Consequently, the throughput of the UP DEV becomes much higher than that of the DN DEV.

## 2.2 TCP-unfairness with wireless link errors

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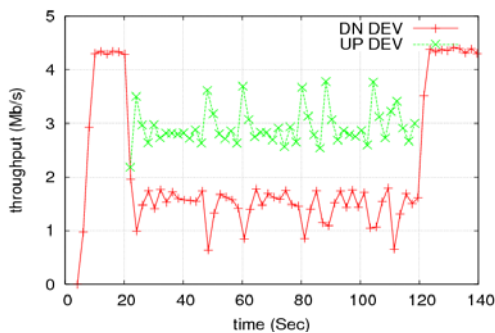
<sup>1</sup>In the simulation, the buffer size is determined for bounded queuing delay to support the multimedia streaming applications whose QoS is sensitive to delay and/or delay variations. Note that a large buffer is not desirable for these applications.

The next step is to investigate the effect of the wireless link errors on the fair sharing of the wireless link. For this purpose, the simulation scenario is configured such that the UP DEV experiences link errors in the period of [40s, 100s], but the DN DEV does not. The wireless link errors are generated by a two-state semi-Markov-modulated process as follows:

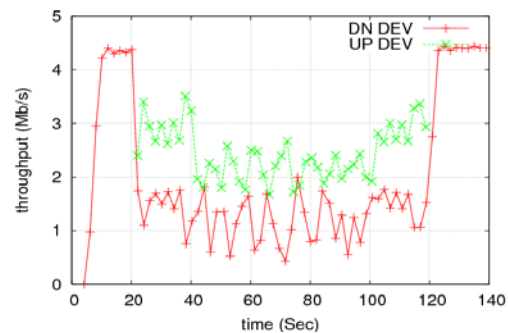
- In the OFF state, the link is error-free, while the link errors are incurred in the ON state at the average rate of 0.8;
- The periods of the OFF and ON states are 100 ms and 75 ms, respectively.
- The state transition probability matrix is given as

$$\begin{pmatrix} P_{off-off} & P_{off-on} \\ P_{on-off} & P_{on-on} \end{pmatrix} = \begin{pmatrix} 0.2 & 0.8 \\ 0.6 & 0.4 \end{pmatrix}. \quad (1)$$

From Fig. 3, we observe that even though link errors happen at the proximity of the UP DEV, they degrade the throughput of the DN DEV. When there is no link error in the period of [20s, 40s], the throughput of the DN DEV and the UP DEV are 1.76 Mb/s and 2.91 Mb/s, respectively. However, the link errors at the UP DEV in the period of [40s, 100s] reduce the throughput of the DN DEV to 1.14 Mb/s, as well as reducing the throughput of the UP DEV to 2.14 Mb/s. An interesting observation is that the throughput decrease is more noticeable in the DN DEV than in the UP DEV due to the link errors that occurred in the UP DEV; the throughput of the DN DEV is decreased by about 35% while that of the UP DEV is decreased by about 26%. The reason is as follows. The wireless link errors corrupt both the TCP data packets and their corresponding ACK packets coming from/into the UP DEV. When the TCP ACK packet for the UP DEV is tainted, the home gateway tries to retransmit it until the number of retransmissions reaches the maximum limit. Thus, the TCP ACK packet is not dequeued until it is transmitted successfully; moreover the interface queue continues to grow with the newly arriving packets. Finally, the newly arrived packets are dropped, regardless of TCP data packets or ACK packets. As explained in Section 2.1, packet dropping puts a more severe influence on the DN DEV than on the UP DEV. Additionally, the MAC-layer retransmission of the TCP ACK packet for the UP DEV increases the waiting time of the TCP data packet for the DN DEV because they share the queue at the home gateway. Consequently, the increased round trip time (RTT) of the TCP data packet decreases the throughput of the DN DEV.



**Fig. 2.** TCP-driven unfairness between the UP DEV and the DN DEV that operates in a IEEE 802.11-operated WLAN.



**Fig. 3.** TCP-driven unfairness in the presence of the wirelesslink errors in a IEEE 802.11-operated WLAN

### 3. Feedback Control Framework

In this section, we introduce a notion of the **medium access price (MAP)**, which is used as a measure to control the per-device medium access probability for QoS provisioning, then we propose a cross-layer feedback framework based on the MAP, and finally, we demonstrate a possible extension of the proposed framework which can be applied to the case where a transport-layer rate control is not available (e.g., UDP).

#### 3.1 MAP calculator

The MAP is feedback information that represents an aggregate value of the network-wide traffic load, the per-device link usage, and per-device link quality. The MAP is estimated only in the home gateway, and it is delivered via a single MAP-bit to the TCP senders. The design rationales of the MAP calculation are (i) to prevent the interface queue at the home gateway from overflowing, (ii) to assure fairness among the devices, and (iii) to differentiate services according to QoS specification. To achieve these goals, the MAP for the  $i$ th device, denoted as  $P_i$ , is computed as follows:

$$P_i(t) = \left\{ \left( P_i^a(t) \times P_i^r(t) \right) + P^n(t) \right\}^+, \quad (2)$$

- $P_i^a$  : the access price for the  $i$ th device to determine the link usage per device;
- $P_i^r$  : the link quality price for the  $i$ th device;
- $P^n$  : the network-wide access price to represent the aggregate traffic load.

Here,  $\{\cdot\}^+$  denotes the largest non-negative value less than or equal to 1. Note that the two former terms in (2) are updated at every  $T_{dev}$  while the last one is updated at every  $T_{net}$ .

##### 3.1.1 Per-device MAP

The per-device MAP is made up of the link access price ( $P_i^a$ ) and the link reliability price ( $P_i^r$ ), denoting to what extent each device use the link bandwidth in the presence of wireless link errors.

**Link access price ( $P_i^a$ ):** The key idea of the per-device link access price is to give a penalty/incentive to the device that has accessed the wireless medium that is more/less than its fair link usage during a given link monitoring interval ( $T_{dev}$ ). In order to determine the price, we need to establish the per-device fair link-usage for the  $i$ th device as

$$U_{f,i} = \phi_i \mu C T_{dev}, \quad (3)$$

where  $C$  is the PHY-layer link capacity (it is assumed to be constant during the monitoring time  $T_{dev}$ ),  $\mu$  denotes the normalized aggregate utilization,  $\phi_i$  denotes the weight of the  $i^{\text{th}}$  device such that  $\sum_i^N \phi_i = 1$  and  $N$  is the number of nodes. Note that  $\mu C$  is the effective capacity, and  $U_{f,i}$  is the desired amount of traffic that can be sent/received by the  $i^{\text{th}}$  device during the given time interval of  $T_{dev}$ . The aggregate utilization  $\mu$  can be determined by employing any performance model of the IEEE 802.11 WLAN (e.g., Bianchi's model [11] or Cal' i's model [12]). The details are given in the appendix. Let us define  $t_{f,i} = U_{f,i} / \mu C$  as a fair usage time for the  $i$ th device that can be exploited during  $T_{dev}$ . Then, from (3),  $\phi_i$  can be written as

$$\phi_i = U_{f,i} / (\mu C T_{dev}) = t_{f,i} / T_{dev}, \quad (4)$$

which implies that  $\phi_i$  can be interpreted as a fraction of time that can be used for the  $i^{\text{th}}$  device during  $T_{dev}$ . Consequently, the per-device fair link usage assures the **time-based fairness** [13] (also referred to as the **proportional fairness** [14]). The MAP calculator keeps track of the per-device link usage  $U_i$  for the  $i^{\text{th}}$  device during every interval of  $T_{dev}$ . Whenever the  $i^{\text{th}}$  device is sending/receiving a data frame, it increases  $U_i$  by an amount of  $\ell + t_{oh}C$ , where  $\ell$  denotes the data frame size, and  $t_{oh}$  is the overhead to transmit the data frame, such as inter-frame spacing time, back-off, and transmission time for MAC-layer ACK frame.

Let  $U_i[k]$  and  $P_i^a[k]$  denote the link usage amount and the per-device access price for the  $i^{\text{th}}$  device at the  $k^{\text{th}}$  monitoring interval, respectively. Then,  $P_i^a[k]$  is calculated as

$$P_i^a[k] = \begin{cases} \lambda \frac{U_i[k-1] - U_{f,i}}{U_i[k-1]} & \text{if } U_i[k-1] > 0 \\ -\lambda & \text{Otherwise} \end{cases}, \quad (5)$$

where  $\lambda$  ( $0 < \lambda \leq 1$ ) is a scaling parameter. If any device has excessively occupied the link in the previous monitoring interval, i.e.,  $U_i[k-1] > U_{f,i}$  then the MAP increases the access price in the current interval to reduce its access opportunity. Otherwise, if  $U_i[k-1] < U_{f,i}$ , then the MAP decreases the price to compensate the device for its lower link access.

**Link reliability price ( $P_i^r$ ):** This is introduced to reflect the link quality into the MAP, and it is adjusted based on the successful transmission rate. The underlying rationales are that (i) the home gateway compensates a device that suffers from transmission failures, and (ii) the wireless link's state is dynamic and time-varying.

Let  $\eta_i[k]$  denote the successful transmission rate of the  $i^{\text{th}}$  device during the  $k^{\text{th}}$  monitoring interval. Then, the link reliability price for the  $i^{\text{th}}$  device,  $P_i^r[k]$ , is given as

$$P_i^r[k] = \eta_i[k-1]. \quad (6)$$

In order to estimate the successful transmission rate,  $\eta_i$ , we choose an interim solution which uses HELLO frames to probe wireless link state, but it can be enhanced by adopting non-intrusive link state estimation scheme such as the work in [15]. At every period of  $T_{hello}$ , each device broadcasts HELLO frames that contain the information of the sender, the sequence number (optional), and the time period (optional). The home gateway keeps track of the number of the successfully received HELLO frames sent from each node during every given interval of  $T_{window} = M \times T_{hello}$ , where  $M$  is a positive integer. If it receives  $m_i$  HELLO frames from node  $i$  during  $T_{window}$ , then  $\eta_i = m_i / M$ . If a certain device frequently experiences transmission failure, the link reliability price is reduced so as to give better opportunity to the device for compensation. However, since HELLO frames themselves are intrusive since they consume the link bandwidth, we need to analyze the impact of HELLO module on the per-device link usage. Effective per-device link-usage after the overhead of the HELLO frame-based link probing module is removed can be determined as follows:

$$U_{f,i} = \mu \cdot C \cdot T_{dev} - N \left( \frac{T_{dev}}{T_{window}} \cdot M \cdot t_{HelloFrame} \cdot C \right) = \left( \mu - N \frac{t_{HelloFrame}}{T_{hello}} \right) \cdot C \cdot T_{dev} \quad (7)$$

where  $t_{HelloFrame}$  is the duration of HELLO frame and the other terms have already been defined in previous equations. From (7), we perceive that we can alleviate the overhead of sending HELLO frames by reducing  $t_{HelloFrame}$  or increasing  $T_{hello}$ . As for  $t_{HelloFrame}$ , we can reduce the frame size by including only source MAC address (6 bytes) within the frame. As for  $T_{hello}$ , we can use very large value for  $T_{hello}$  if the link state is quite stable. Additionally, we can further reduce the overhead if we only use HELLO frames when there is no traffic for some devices; in other words, we can use real traffic to estimate link state instead of sending explicit HELLO frames to probe the link state.

**Remark:** Instead of the price in (6), an unreliability price can be used for improving the link utilization. If a node experiences transmission failure with a high probability, then it is deprived of transmission chances under the philosophy that it is not necessary to waste resources for transmitting data frames that probably involve retransmissions. This alternative approach can improve the network-wide utilization when the link state is relatively static. However, recall that the objective of this study is to propose a framework for supporting the per-device fairness and/or service differentiation that is immune to wireless link errors.

### 3.1.2 Network-wide MAP

The network-wide access price,  $P^n$ , is devised to avoid packet loss due to the buffer overflow at the interface queue. The design objective is to tightly regulate the queue length of the home gateway in order to keep it around the desired target value, and to match the input rate of packets with the available link capacity. This objective is similar to that of the active queue management (AQM) scheme for Internet routers [14]. **Table 1** shows the pseudo code to calculate  $P^n$ , as well as the state variables and the design parameters.

From the pseudo code in **Table 1**, the difference between the aggregate input traffic amount ( $R[j]$ ) and its target value ( $R_{ref}[j]$ ) at the  $j^{\text{th}}$  update interval can be rewritten as

$$R[j] - R_{ref}[j] = (R[j] - \mu[j]CT_{net}) + \gamma(q[j] - q_{ref}) + \beta \left( \sum_{k=0}^j (R[k] - \mu[k]CT_{net}) + \gamma(q[k] - q_{ref}) \right). \quad (8)$$

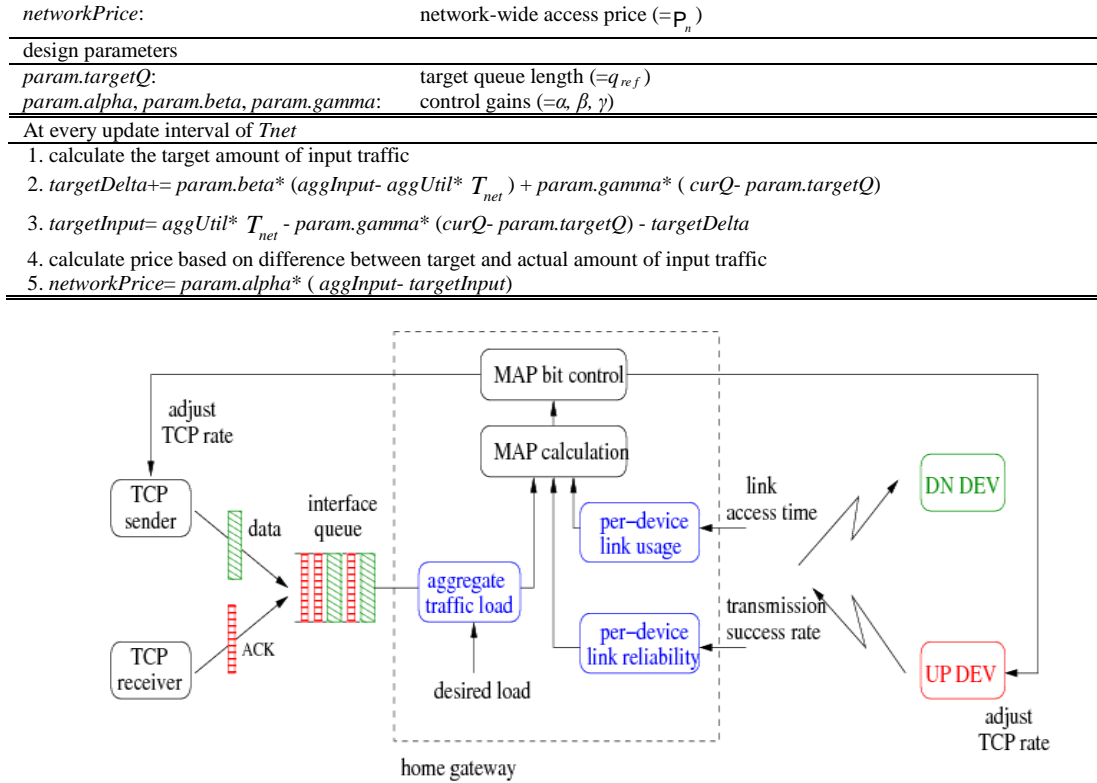
It can be seen from **Table 1** and (8) that the network-wide access price ( $P^n = \alpha(R[j] - R_{ref}[j])$ ) increases (i) as the input amount of traffic to the interface queue exceeds the amount that can be served at the link capacity during  $T_{net}$  (i.e.,  $R[j] > \mu[j]CT_{net}$ ), and (ii) as the queue length exceeds its target value (i.e.,  $q[j] > q_{ref}$ ). The term ( $R[k] - \mu[k]CT_{net}$ ) in (8) can be approximated with the following relationship:

$$(R[k] - \mu[k]CT_{net}) = q[k] - q[k-1]. \quad (9)$$

**Table 1.** Pseudo code to calculate the network-wide access price.

state variables	
$curQ$ :	current queue length (updated whenever a packet enqueues or dequeues) ( $=q$ )
$aggUtil$ :	aggregate utilization ( $=\mu C$ )
$aggInput$ :	accumulated amount of input traffic to the interface queue during $T_{net}$ ( $=R$ )
$targetInput$ :	target amount of input traffic to the interface queue during $T_{net}$ ( $=R_{ref}$ )
$targetDelta$ :	dummy variable for recursive calculation





**Fig. 4.** Feedback control framework of based on the medium access price.

The MAP algorithm can then be easily implemented only with the information of the queue length, without having to estimate the input rate or the link capacity as

$$P^n[j] = \alpha \left[ (\gamma + \beta + 1)q[j] - q[j-1] - r q_{ref} + \beta \gamma \sum_{k=0}^j (q[k] - q_{ref}) \right]. \quad (10)$$

Conclusively, the MAP in (1) has the following desirable properties in perspective of QoS provisioning:

- MAP calculates  $P_i$ , regardless of the device's number of flows;
- MAP is flexible to support various QoS policies by setting the fair share of each device considering its service weight;
- MAP requires monitoring the queue length of AP and the channel usage of devices to calculate the media access price from (5) and (10). The devices only convey the access price from the MAC to the TCP. Thus, the MAP algorithm does not incur large communication overhead and its implementation cost will not be significant.

### 3.2 Feedback framework of medium access price

Based on the MAP, we propose a feedback control framework, where the MAP is delivered to TCP senders and then the TCP senders adjust their sending rate to reduce the congestion at the interface queue of the home gateway. Fig. 4 shows the proposed feedback architecture.

This approach can be implemented with minimal changes on the standard protocol stack. The main functions of the proposed MAP-based feedback framework are (1) the MAP calculation module, (2) the link quality probing module, and (3) the MAP-bit control module. At every given update interval, the MAP calculation module estimates the MAP based on the

length of the interface queue, the link usage, and the link quality (quantified by the link quality probing module). Based on the value of the MAP, the MAP-bit control module sets a single MAP-bit<sup>2</sup> in the MAC header to deliver the MAP information to the TCP senders in a distributed way. Once a packet (TCP data or ACK) arrives to the home gateway, the MAP-bit control module picks a pseudo random number that is uniformly distributed between zero and one, and it compares this value with the MAP whose value also ranges from zero to one. If the random number is less than the MAP, then the MAP-bit is set, otherwise it is cleared. This MAP-bit is piggybacked on the data frame from the home gateway to the corresponding device. With this binary feedback, the overhead can be minimized for delivering the MAP. On receiving a packet whose MAP-bit is set, the device maps the MAP bit in the MAC header onto the explicit congestion notification (ECN) bit [17] in the IP header, so that the TCP sender adjusts its congestion window size. In this way, the TCP senders recognize both the state and the usage of the wireless link, and they can adapt their sending rates.

### 3.3 Extension to contention window control and active queue management

Since the fairness in the proposed feedback control framework is actually controlled by the TCP senders in the transport layer, the proposed approach cannot be directly applied when no rate control mechanism is employed in the transport layer, e.g., UDP. Even in this case, the MAP can be used to enforce fairness and service differentiation by extending the proposed framework to the MAC-layer contention window control and the network-layer active queue management scheme (AQM).

First, we consider the extension of the MAP to the contention window control, which is suitable for the uplink UDP traffic. A high value of the MAP indicates that the link is highly loaded. There the probability of link access attempts needs to be decreased by increasing the contention window size. On the other hand, if the value of the MAP is low, then the link can be accessed with a higher probability to enhance the overall utilization. The MAP calculation does not need to be changed, while the MAP-bit control requires a small change. We propose to include the MAP-bit in a MAC-layer ACK frame, as well as in the MAC-layer data frame, in order to cope with a situation where a wireless device only sends data frames to the home gateway without receiving any data frames from the home gateway. If a wireless device successively receives the MAC frames where the MAP-bit is set, then the wireless device increases its contention window size twice; otherwise if it successively receives the packets where the MAP-bit is unset, then it sets the contention window size to the minimum value that is specified by the IEEE 802.11 standard<sup>3</sup>. This is one example of contention window control based on the MAP. We can consider another example. Let us denote  $cwnd[n]$  as the size of the contention window at the  $n$ th control interval and  $\gamma_{MAP}$  as the fraction of the MAC frames that received with the MAP-bit among the total MAC frames received. We can control  $cwnd[n]$  depending on the value of  $\gamma_{MAP}$  as

$$cwnd[n] = \begin{cases} \min(cwnd_{\max}, cwnd[n-1] \times K_+) & \text{if } \gamma_{MAP} > \max_{th} \\ \max(cwnd_{\min}, cwnd[n-1] - K_-) & \text{if } \gamma_{MAP} < \min_{th} \\ cwnd[n-1] & \text{otherwise} \end{cases}, \quad (11)$$

where  $cwnd_{\max}$  and  $cwnd_{\min}$  are the maximum and minimum values of  $cwnd$  (as specified by the IEEE 802.11),  $\max_{th}$  and  $\min_{th}$  ( $0 < \min_{th} < \max_{th} < 1$ ) are two threshold values to control

<sup>2</sup>We can allocate the MAP-bit without modifying the existing MAC header by utilizing the undefined “subtype” of “frame control” field in the MAC header.

<sup>3</sup>Note that the auto-rate fallback (ARF) mechanism works in a similar way.

$cwnd$  and  $K_+(>1)$  and  $K_-(>0)$  are the increase and decrease control factors. The control rule in (11) is similar to the philosophy of the *additive increase multiplicative decrease* adopted in a TCP congestion control scheme. While the conventional control method is reactive to transmission failure, the proposed contention window control based on the MAP information is considered as a proactive approach.

Next, we focus on the extension of the MAP to the AQM scheme, in order to enforce fairness or service differentiation with the downlink UDP traffic. Without any proper rate control mechanism implemented on the sender-side, there is no way to drop the excessive packets to achieve fairness. The MAP can be used as an efficient criterion to determine whether or not to drop a packet. Upon a packet arrival, the MAP calculation and the MAP-bit control are performed in the same way as aforementioned. If the value of the MAP is high enough to set the MAP-bit, then the home gateway only drops the packet, instead of setting the MAP-bit to the packet. This approach can improve the per-device fairness without decreasing the overall utilization, even if some devices are greedy while others are idle. Although greedy devices are penalized by a higher value of the per-device access price, they can utilize the unused share for the idle devices. If the channel is under-utilized, then the network-wide access price becomes negative, i.e.,  $P^n = \alpha(R[j] - R_{ref}[j]) < 0$ , and the actual MAP, which is the sum of the per-device price and network-wide price, is decreased for the greedy devices to allow them to occupy the unused share of the link for the idle devices. Consequently, the shared wireless link is neither wasted nor overused while maintaining fairness at a reasonable level. Here, we address the flexibility and extensibility of the proposed framework but the implementation and the evaluation of these MAP extensions are omitted as they will form the basis of future research.

## 4. Performance Evaluation

We consider a WiFi-based home network consisting of a home gateway and several wireless devices, as shown in Fig. 1. Throughout the performance evaluation, the following three typical types of WiFi devices are considered, according to their traffic characteristics:

- TYPE-1 DEV interacts with the corresponding wired nodes to consider FTP or P2P download, web-surfing and the messenger, e.g., laptops or PDAs;
- TYPE-2 DEV downloads the media contents from the home gateway or from a desktop PC that is connected to the gateway, e.g., MP3 players or PMP;
- TYPE-3 DEV uploads their contents to the home gateway or to the web server in the wired networks, e.g., a digital camera or camcorder.

Note that TYPE-2 and TYPE-3 DEV generate the assumed traffic of portable devices while TYPE-1 produces ordinary wireless traffic in IEEE 802.11-based WLANs. It is assumed that all the devices are randomly placed in an area ( $100 \text{ m} \times 100 \text{ m}$ ) so that they are within the carrier sensing range of each device. Hence, there is little concern for hidden devices, and the Ready-To-Send (RTS) / Clear-To-Send (CTS) mechanism is not used. Moreover, the mobility of device is not considered in this study. The control parameters of the MAP-enabled framework,  $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\lambda$ , are set to 0.03, 0.03, 0.05 and 0.8, respectively; furthermore the update intervals,  $T_{net}$  and  $T_{dev}$ , are set to 10 ms and 20 ms, respectively. The target value of the AP interface queue is set to 50 packets and the other simulation parameters remain unchanged from those that were presented in Section 2.

### 4.1 Validation of the MAP-enabled WLAN

We firstly confirm that the MAP-enabled WLAN solves the issue of the unfairness between the UP DEV and the DN DEV due to the TCP asymmetry and the wireless link errors, as presented in Fig. 2–3. The same simulation scenario is used for this study, as was used in Section 2.

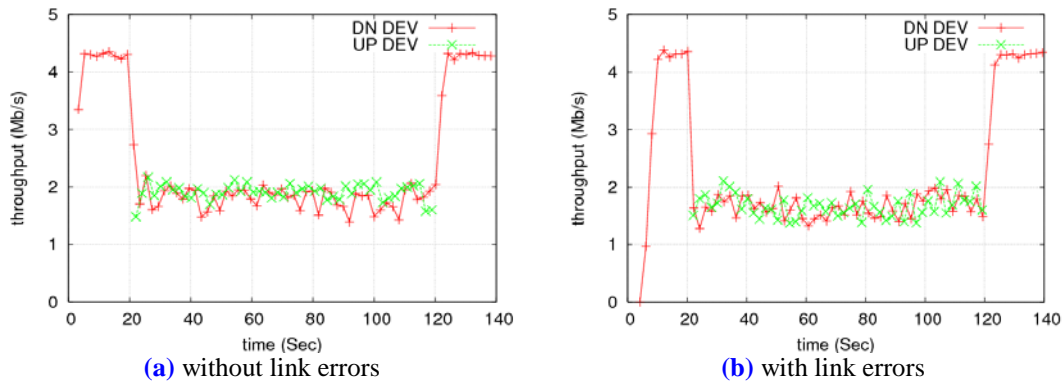


Fig. 5. Per-device throughput in a MAP-enabled WLAN.

Figs. 5-(a) and (b) show the TCP throughput of the UP DEV and the DN DEV with and without the wireless link errors, respectively. If there is no link error (see Fig. 5-(a)), then both the UP DEV and the DN DEV almost equally share the wireless link capacity when they coexist in the period of [20s, 120s]: the average throughput of the DN DEV and the UP DEV are 1.82 and 1.91 Mb/s, respectively. When it is compared to the case without the MAP mechanism (see Fig. 2), the throughput ratio of the DN DEV to the UP DEV is remarkably increased from 0.50 to 0.90. In addition to enhancing the fairness, the MAP framework significantly reduces the throughput fluctuation, which is meaningful for the multimedia streaming services. Next, attention is given to the case with the wireless link errors, as shown in Fig. 5-(b). The average throughputs of the DN DEV are 1.66, 1.62, and 1.78 Mb/s in the periods of [20s, 40s], [40s, 100s], and [100s, 120s], respectively; those of the UP DEV are 1.79, 1.60, and 1.79 Mb/s in the corresponding periods, respectively. Recall that the error occurs in the period of [40s, 100s]. During the whole simulation time when the DN DEV and the UP DEV coexist, the throughput ratio between both devices ranges between 0.93 and 1.01. By comparing Fig. 5-(b) with Fig. 3, it can be observed that the performance of the proposed MAP framework is not significantly affected by the wireless link errors due to the introduction of the link reliability price.

#### 4.2 Performance with respect to per-device throughput

This simulation is performed to investigate the per-device fairness of MAP-enabled WLAN, compared with that of the IEEE 802.11-based WLAN. The simulation scenarios are configured as follows: (i) TYPE-1 DEV is active throughout the whole simulation period and it continuously communicates with the corresponding node, (ii) TYPE-2 DEV starts to download the multimedia data during the interval of [20s, 120s], (iii) TYPE-3 DEV uploads the data during the interval of [40s, 140s].

Fig. 6 compares the per-device throughput of the IEEE 802.11-based devices with that of MAP-enabled devices when the wireless link errors occur to TYPE-1 DEV during the interval of [60s, 100s]. Note that the model of the wireless link errors is the same as that specified in Section 2. In the case of the IEEE 802.11-based devices (Fig. 6-(a)), there is no considerable

discrepancy between the two types of devices (TYPE-1 DEV and TYPE-2 DEV) in the period of [20s, 40s] when there is no uploading device. The slight difference between the aforementioned two types of devices is mainly due to the difference of the RTT of TCP flows. As previously seen in Section 2, the UP DEV, i.e., TYPE-3 DEV occupies most of the network bandwidth in the period of [40s, 120s]. Accordingly, the throughput of TYPE-1 DEV and TYPE-2 DEV are significantly reduced in this period. In the erroneous period of [60s, 100s], the per-device fairness drastically deteriorates, i.e., the throughput of TYPE-1, TYPE-2, and TYPE-3 DEVs are 0.31, 1.18, and 2.47 Mb/s, respectively. However, **Fig. 6-(b)** confirms that the MAP-enabled device successfully achieves the fairness, regardless of the number of active devices, their types, and wireless link errors. It is also shown that even though the wireless link errors disrupt the performance of TYPE-1 DEV, they do not severely affect the other devices and per-device fairness.

### 4.3 Performance with respect to fairness

In this section, the per-device fairness is quantitatively evaluated in terms of the fairness index. The fairness index is defined as follows:

$$F = \frac{\left(\sum_{i=1}^N \rho_i\right)^2}{\left(N \sum_{i=1}^N \rho_i^2\right)}, \quad (12)$$

where  $N$  is the number of devices, and  $\rho_i$  is the throughput of the  $i^{\text{th}}$  device. The ideal value of  $F$  becomes one when all the devices have the same throughput.

**Fig. 7** compares the fairness index between the IEEE 802.11-based and the MAP-enabled devices. The same simulation configuration as was previously used is adopted here, except that all the devices are active in the total simulation time of 100 seconds, and the transmission rate is set to 5.5 Mb/s in order to clearly see the bottleneck effect of the wireless link on the fairness among the devices. The metrics  $F$  is measured as the number of nodes for each type of device increases<sup>4</sup>. As presented in **Fig. 7**,  $F$  in the MAP-enabled network is remarkably higher than that in the IEEE 802.11 network, regardless of the number of devices.

Then, we carried out two additional studies to investigate the fairness index further, and we present the results in **Table 2** and **3**. As for the first study whose results are presented in **Table 2**, we employed the same simulation configuration as we used for **Fig. 7**, but we enable different number and type of flows for each device; in the table, UP, DN, UP/DN flow mean an upload, a download, an interactive flow, respectively. As shown in **Table 2**, the fairness index values in MAP-enabled WLAN is higher than those values measured in IEEE 802.11 legacy WLAN.

As for the second study, we used a different network configuration, where there are five different devices, each device has a different number of flows together with a different active period, which is as follows: (i) DEV1 has one DN flow in [0s, 200s], (ii) DEV2 has one UP flow in [0s, 200s], (iii) DEV3 has one DN flow and one UP flow in [50s, 200s], (iv) DEV4 has two DN flow in [100s, 200s], and (v) DEV5 has 2 UP flows in [150s, 200s]. The specific results are in **Table 3**. We made a similar observation to previous studies: MAP-enabled WLAN outperforms IEEE 802.11-based WLAN in perspective of fairness.

<sup>4</sup>For convenience, Fig. 7 presents the number of devices per device type, and so the total number of devices is three times larger than that number.

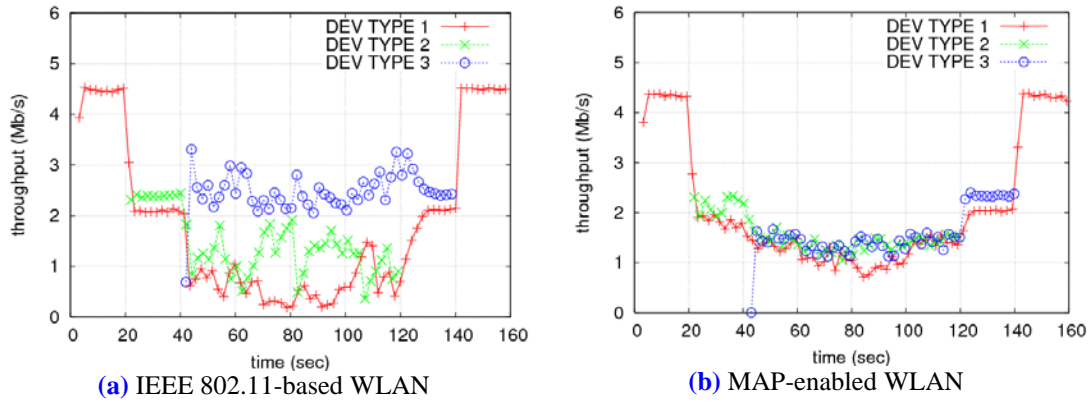


Fig. 6. Comparison of the per-device throughput in the presence of the wireless link errors.

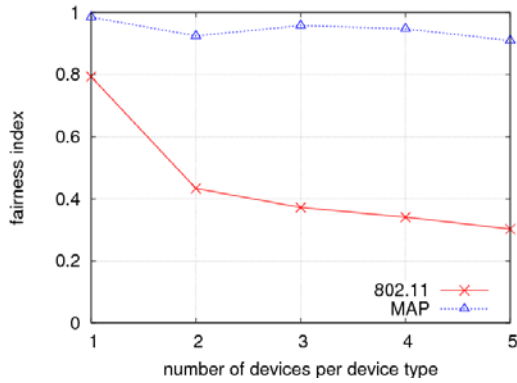


Fig. 7. Comparison of the fairness index when (i) DEV1 has two DN flows and one interactive flow, (ii) DEV2 has one DN flow, and (iii) DEV3 has one UP flow.

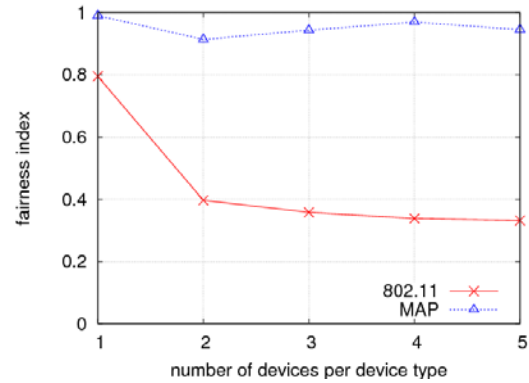


Fig. 8. Comparison of the fairness index when (i) DEV1 has two DN flows and one interactive flow, (ii) DEV2 has one DN flow, and (iii) DEV3 has one UP flow and one interactive flow.

Table 2. Comparison of the fairness index when each device has different numbers of flows.

Number of flows									Fairness index	
DEV1			DEV2			DEV3			IEEE 802.11	MAP
UP	DN	UP/DN	UP	DN	UP/DN	UP	DN	UP/DN		
0	2	1	0	1	0	1	0	0	0.79	0.98
0	2	1	0	1	0	1	0	1	0.79	0.99
0	2	1	0	1	0	1	1	1	0.80	0.99
0	2	1	1	1	1	1	1	1	0.52	0.96
1	1	1	0	1	0	1	0	0	0.52	0.88
1	1	1	0	1	0	1	0	1	0.49	0.91
1	1	1	0	1	0	1	1	1	0.55	0.94
1	1	1	1	1	0	1	1	1	0.44	0.89

Table 3. Performance Comparison of Fairness index when five devices have different numbers of flows and it is active in different intervals.

Time interval	802.11	MAP-enabled
[0s, 50s]	0.946	0.998
[50s, 100s]	0.849	0.995
[100s, 150s]	0.691	0.994
[150s, 200s]	0.583	0.994

#### 4.4 Performance with respect to delay and loss

We now evaluate the performance of the MAP-enabled network in terms of delay and loss. The simulation configuration is the same as that used in the first experimentation of the previous section. As shown in Fig. 9, the loss rate of the IEEE 802.11-based WLAN increases by up to 15% as the number of devices increases; however, the loss rate of the MAP-enabled WLAN is approximately not higher than 0.25% for the entire range of  $N$ . The high loss rate of the IEEE 802.11-based WLAN causes the QoS of the multimedia service to significantly deteriorate. These results indicate that a large portion of the aggregate throughput in the IEEE 802.11-based network is wasted in retransmitting the TCP packets due to the high loss rate, and so it reduces the effective throughput, i.e., good put.

Fig. 10 shows that the average end-to-end delay of the IEEE 802.11-based WLAN is not much affected by the number of devices; however at a maximum, it is two times larger than that in the MAP-enabled network. The reason is that the buffer at the home gateway in the former network is almost filled with frames, regardless of the number of devices, while the buffer in the latter network is controlled so that it is regulated around its target value. Also, one noteworthy feature of the MAP-enabled WLAN is that the average delay can be controlled by setting the desirable queue length to a proper value, which is useful to satisfy the required QoS of real-time service, and that is not possible in the IEEE 802.11-based WLANs.

#### 4.4 Performance with respect to per-device fairness

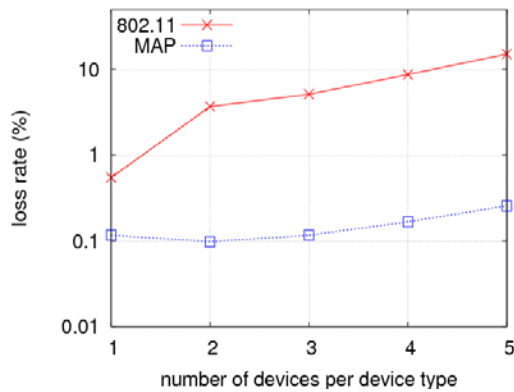
As the final simulation study, we evaluate the performance of the MAP-enabled WLAN with respect to the weighted fairness. In the MAP-enabled network, an arbitrary service weight ( $\phi_i$  in (3) of Section 3.2) can be assigned for each device. In this simulation, the weights are set as follows: the weights of TYPE-1 DEV (DEV1/DEV2), TYPE-2 DEV(DEV3/DEV4), and TYPE-3 DEV(DEV5/DEV6) are set to 0.083, 0.25, and 0.167, respectively. The weights of TYPE-2 DEV/TYPE-3 DEV are three/two times higher than that of TYPE-1 DEV, respectively. Note that the IEEE 802.11 does not support this kind of service differentiation; therefore, these results are not presented in this simulation.

Fig. 11-(a) presents the per-device throughput when there is no link error. As shown in the figure, the per-device throughput is almost in proportion to the service weight, and the throughputs for the devices that have the same weight (e.g., DEV1 and DEV2) are almost equal. On the other hand, Fig. 11-(b) presents the throughput differentiation among differently weighted devices, when all devices encounter wireless link errors in the period of [30s, 70s]. Even in this case, MAP-enabled WLAN can differentiate per-device throughput according to the device's weight. These simulation results imply the flexibility of the MAP-based framework, in that it can realize the various fairness policies by assigning the appropriate service weights to the devices.

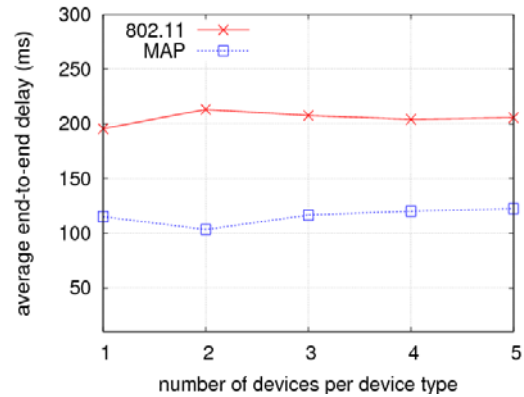
## 5. Related Work

This section briefly discusses several previous approaches to achieve the TCP fairness in the IEEE 802.11 WLANs, *even though they do not directly target home networks*. The approaches are classified into four categories: (i) a MAC-layer solution that involves the differentiated channel access mechanism; (ii) a TCP-layer solution that adjusts the TCP window size; (iii) a queuing-based solution that resorts to the differentiated packet dropping/marking between the TCP data and the ACK packets; and (iv) a rate-control mechanism that limits the aggregate uplink traffic rate.

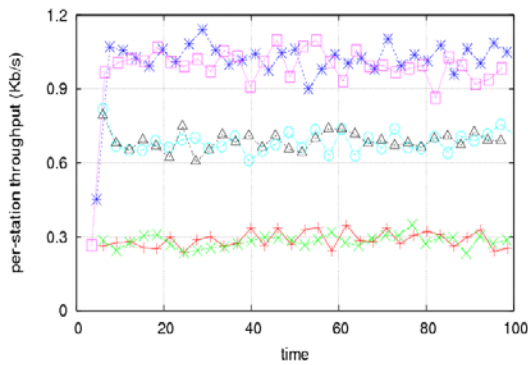
The method in [7] utilizes the IEEE 802.11e [19] service differentiation scheme to provide the TCP fairness. This differentiates the media access scheme for TCP data from that for ACK packets with different inter-frame spaces, minimum contention window sizes, and transmission opportunity (TXOP) bursting. Also, the approach in [20] allows the AP to transmit multiple frames in a burst, and the burst size is adjusted based on the collision probability that is monitored in the AP to maximize the wireless link utilization and provide fairness between the uplink and downlink data flows.



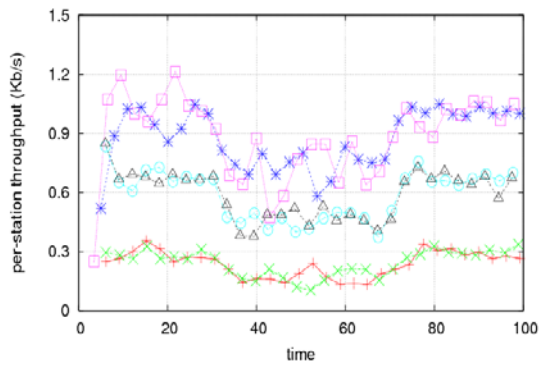
**Fig. 9.** Comparison of the loss rate measured in the IEEE 802.11-based and the MAP-enabled WLANs.



**Fig. 10.** Comparison of the end-to-end delay measured in the IEEE 802.11-based and the MAP-enabled WLANs.



**(a)** IEEE 802.11-based WLAN



**(b)** MAP-enabled WLAN

**Fig. 11.** Per-device throughput in the MAP-enabled WLAN when the devices have different service weights.

A transport-layer solution [1], [21] can mitigate the TCP unfairness in the IEEE 802.11 MAC. In [1], it has been pointed out that the TCP fairness is affected by the buffer availability at the AP. As a solution, the AP is entitled to manipulate the advertised TCP window size for the TCP ACK passing through it. The approach in [21] extends the idea that was presented in [1] to improve the overall link utilization. When modifying the advertised TCP window size, the approach in [21] considers the achievable maximum TCP window size, as well as the buffer availability.



On the other hand, this fairness issue can be dealt with in the IP layer by devising a proper queue management scheme [22][6][23] or by devising a rate control scheme [24]. The approach in [22] employs a SPM-AF (selective packet marking scheme with ACK filtering) scheme and combines this with the LAS (least attained service) scheduling to give a higher service opportunity to the downlink TCP data packets and to assure the per-flow fairness. When a TCP ACK packet arrives, the AP removes the redundant ACK packets that belong to the same connection in the queue. The approach proposed in [6] performs the per-flow scheduling in the AP to achieve the fairness. It treats the data queue and the ACK queue differently, and it determines the optimal scheduling probabilities for these per-flow queues. In a similar way, the queue management scheme proposed in [23] manages the virtual per-flow queue in the AP and it makes decision on packet drop based on the per-flow queue length. The rate control algorithm in [24] mitigates the unfairness problem by implementing a token bucket-based rate limiter in the AP. The rate limiter controls the rate of the aggregate uplink traffic so as to provide fairness.

Compared to the previous studies, the proposed scheme is based on a cross-layer approach. The MAP is estimated in the AP and it is delivered to the TCP senders so that they can adjust their sending rates in a distributed way. Thus, the proposed approach does not require a per-flow/station buffer, nor does it involve per-flow/station scheduling. The MAP plays a key role in providing the per-device fairness and high utilization, and it is an effective measure that can reflect the MAC/PHY information such as the per-device link usage/quality and the network-wide load. Unlike the previous approaches, this scheme is the flexible and extensible, and it is generalized framework from the following aspects, which is its unique property:

- The proposed framework can support the weighted fairness and the proportional fairness, where the former is an essential building block for service differentiation and the latter is effective when stations have different PHY transmission rate.
- The MAP information can be used for the contention window control and queue management scheme to control the UDP traffic for fairness, so that this scheme works regardless of whether a station uses TCP or UDP.
- The MAP takes both the per-device access price and the network-wide access price into account, and so the proposed method does not decrease the aggregate utilization to provide fairness even when some stations are greedy while others are idle.

## 6. Conclusion

In this paper, we have investigated the issue of fairness and/or service differentiation among the Wi-Fi-enabled portable devices in home networks when they simultaneously upload and download contents in the presence of wireless link errors. We have first presented that the service in the IEEE 802.11-based home networks is biased toward the uploading devices due to the asymmetric behavior of the TCP congestion control. It has also been shown that the unfairness problem becomes worsen due to the wireless link errors. In order to resolve the unfairness, we have introduced the medium access price (MAP) and devised the price-based QoS-provisioning framework to support per-device fairness. In the proposed approach, the MAC layer at the home gateway measures the link usage and the reliability for each device, and the network-wide utilization, and then it quantifies it with the MAP. According to the MAP, the TCP senders adjust their sending rates to assure better per-device fairness while maximizing the link utilization simultaneously. The simulation results clearly indicate that the proposed MAP-based framework outperforms the existing TCP over IEEE 802.11 MAC, with respect to fairness, delay, and loss, regardless of wireless link errors. Our future work will

apply the proposed framework to multi-hop wireless networks by extending it to the contention window control.

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## Appendix

We summarize the procedure of deriving the (normalized) link capacity in IEEE 802.11-based network using the p-persistent model. Note that the capacity is used for calculating fair per-device link usage. Even though there are several analytical models [9][10][21], we choose the Cali *et al.* model to determine the link capacity in the proposed MAP-based framework. Cali's model focuses on the interval between two consecutive successful transmissions of data frame  $t_v$ , referred to as virtual transmission time. Based on the observation that the wireless link experiences alternating idle periods and collision periods till it has a successful transmission with an additional idle period, the model firstly represents the link activities as a renewal process and sets the renewal point as the end of each successful transmission. Then, the expected virtual transmission time can be expressed as

$$E[t_v] = E[N_c] \cdot t_c + E[t_{idle}] \cdot (E[N_c] + 1) + t_D \quad (\text{A-1})$$

where  $N_c$  is the number of collisions taken before a successful transmission,  $t_c$  is one collision period consisting of one collided-frame time and an extended inter-frame space time,  $t_{idle}$  is the duration of consecutive idle slots (between two busy periods, which are any combination of a successful transmission and a collision),  $t_D$  is the successful transmission time which includes two short inter-frame space times, one data frame transmission time, and one ACK frame time. After finding out the successful transmission probability  $P_s$ , the collision probability  $P_c$ , and the idle probability  $P_i$ , all of which depend on the number of nodes that attempt to transmit their frames (see [10] for a detailed account of the derivation), both  $N_c$  and  $t_{idle}$  are determined according to the geometric distribution with parameters  $P_s/(P_s + P_c)$  and  $1 - P_i$ , respectively. Hence,

$$E[N_c] = \frac{P_s}{P_c} \quad \text{and} \quad E[t_{idle}] = \frac{P_i}{1 - P_i} t_{slot} \quad (\text{A-2})$$

Plugging (A-2) into (A-1), we obtain the expression of  $E[t_v]$ . The link (normalized) capacity  $\mu$  can now be computed by dividing the payload over  $E[t_v]$ :

$$\mu = \frac{E[t_{payload}]}{E[t_v]}, \quad (A-3)$$

where  $E[t_{payload}]$  is the average size of payload in time units. With the aforementioned procedure, the home gateway determines the current utilization with the current number of devices  $N$  and the average payload size  $t_{payload}$  every  $T_{dev}$  interval. Note that  $N$  and  $t_{payload}$  can be easily kept track of at the gateway and then both of them can be plugged into the procedure to determine the current (theoretically) available link capacity.



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